



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: WILD ET AL. Examiner: J. PARKIN  
Serial No.: 10/660,206 Group Art Unit: 1648  
Filed: SEPTEMBER 10, 2003 Docket: 11613.64USC1  
Confirmation No.: 7645 Customer No.: 23552  
Due Date: APRIL 5, 2004  
Title: METHODS OF ELICITING BROADLY NEUTRALIZING ANTIBODIES TARGETING HIV-1 GP41

CERTIFICATE UNDER 37 CFR 1.10:

"Express Mail" mailing label number: EV 372668146 US  
Date of Deposit: April 5, 2004

I hereby certify that this paper or fee is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Mail Stop MISSING PARTS, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

By: Sheryl A. Boerboom

Name: Sheryl A. Boerboom

Mail Stop MISSING PARTS  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**23552**

PATENT TRADEMARK OFFICE

Sir:

We are transmitting herewith the attached:

- ☒ Transmittal Sheet in duplicate containing Certificate of Mailing
- ☒ Notice to Comply with Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures - Part 2
- ☒ Supplemental Information Disclosure Statement, Form 1449, 46 References
- ☒ Response to Notice to Comply and Certificate Regarding Sequence Listing and Communication Pursuant to 37 C.F.R. 1.821(e)
- ☒ Computer readable form of Sequence Listing is available in application Serial No. 09/480,336. Applicants state that the paper copy form of the Sequence Listing provided herewith, and the computer readable form submitted in application Serial No. 09/480,336, are the same.
- ☒ Return postcard

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers or any future reply, if appropriate. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725. A duplicate of this sheet is enclosed.

MERCHANT & GOULD P.C.  
P.O. Box 2903, Minneapolis, MN 55402-0903  
612.332.5300

By: Katherine M. Kowalchyk

Name: Katherine M. Kowalchyk

Reg. No.: 36,848

KKowalchyk:sab



## UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/660,206	09/10/2003	Carl T. Wild	11613.64USC1

Attention of Katherine M. Kowalchuk  
 MERCHANT & GOULD P.C.  
 P.O. Box 2903  
 Minneapolis, MN 55402-0903



CONFIRMATION NO. 7645

## FORMALITIES LETTER



\*OC000000011845267\*

Date Mailed: 02/05/2004

# NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

*Filing Date Granted*

Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

- This application does not contain a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). Applicant must provide such statement. If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

Replies should be mailed to: Mail Stop Missing Parts  
 Commissioner for Patents

P.O. Box 1450  
Alexandria VA 22313-1450

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*A copy of this notice MUST be returned with the reply.*

Y.G.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



S/N 660,206

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	WILD ET AL.	Examiner:	J. PARKIN
Serial No.:	10/660,206	Group Art Unit:	1648
Filed:	SEPTEMBER 10, 2003	Docket No.:	11613.64USC1
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By:

*Sheryl A. Boerboom*  
Name: Sheryl A. Boerboom

RESPONSE TO NOTICE TO COMPLY AND  
CERTIFICATE REGARDING SEQUENCE LISTING

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

This paper is being filed in response to the Examiner's communication mailed February 5, 2004. In response to the Notice to Comply and in accordance with the requirements of 37 C.F.R. § 1.821 - § 1.825, Applicants enclose herewith a communication pursuant to 37 CFR § 1.821(e) to request to use a Sequence Listing submitted in a previously filed application.

In accordance with MPEP §2422.05, a paper copy of the Sequence Listing is included with this communication. Please insert the paper copy into the file after the Executed Oath or Declaration, as required by 37 C.F.R. § 1.77(a)(15). Applicants hereby state that the computer readable form in application Serial No. 09/480,336 and the paper copy of the Sequence Listing enclosed do not include new matter.

Pursuant to the Examiner's request, also enclosed is a copy of the Notice to Comply with the sequence listing rules. Accordingly, it is believed the application complies with the sequence listing requirements.

Respectfully submitted,

MERCHANT & GOULD P.C.  
P.O. Box 2903  
Minneapolis, Minnesota 55402-0903  
(612) 332-5300

Date: April 5, 2004

Katherine M. Kowalchyk  
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